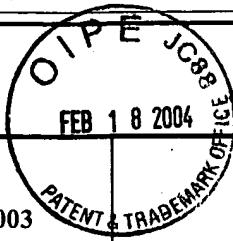


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
03226/351001

In Re Application Of: **Bryan M. CANTRILL**



Serial No.  
**10/713,652**

Filing Date  
**November 14, 2003**

Examiner

Group Art Unit

Title: **MECHANISM FOR LOSSLESS, LOCK-FREE BUFFER SWITCHING IN AN ARBITRARY-CONTEXT TRACING FRAMEWORK**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- the statement specified in 37 CFR 1.97(e);

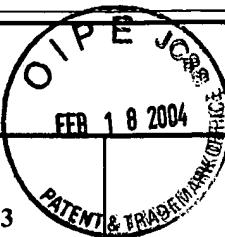
**OR**

- the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
03226/351001

In Re Application: **Bryan M. CANTRILL**



Serial No. 10/713,652	Filing Date November 14, 2003	Examiner	Group Art Unit
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**MECHANISM FOR LOSSLESS, LOCK-FREE BUFFER SWITCHING IN AN ARBITRARY-  
CONTEXT TRACING FRAMEWORK**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below.
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Toni Hill

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Jonathan P. Osha, Reg. No. 33,986  
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1221 McKinney Street, Suite 2800  
Houston, Texas 77010

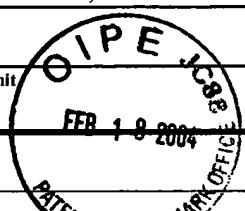
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Dated: *2/12/04*

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

Docket Number (Optional) <b>03226/351001</b>	Application Number <b>10/713,652</b>
Applicant(s) <b>Bryan M. CANTRILL</b>	
Filing Date <b>November 14, 2003</b>	Group Art Unit



*EXAMINER INITIAL	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
A1	Tamches, Ariel and Miller, Barton P. Fine-Grained Dynamic Instrumentation of Commodity Operating System Kernels. [Online] <a href="http://www.usenix.org/publications/library/proceedings/osdi99/full_papers/tamches/tamches.pdf">http://www.usenix.org/publications/library/proceedings/osdi99/full_papers/tamches/tamches.pdf</a> , Published February 1999.
A2	Murayama, John. Performance Profiling Using TNF. [Online] <a href="http://developers.sun.com/solaris/articles/tnf.html">http://developers.sun.com/solaris/articles/tnf.html</a> , July 2001.
A3	Lorch, Jacob R. and Smith, Alan J. The VTrace Tool: Building a system Tracer for Windows NT and Windows 2000. [Online] <a href="http://msdn.microsoft.com/msdnmag/issues/1000/VTrace/default.aspx">http://msdn.microsoft.com/msdnmag/issues/1000/VTrace/default.aspx</a> , October 2000.
A4	Chapter 10. The Generalized Trace Facility (GTF). [Online] <a href="http://publib.boulder.ibm.com/cgi-bin/bookmgr/BOOKS/IEA2V100/CCONTENTS">http://publib.boulder.ibm.com/cgi-bin/bookmgr/BOOKS/IEA2V100/CCONTENTS</a> , January 2001.
A5	Mirgorodskii, Alex. The Path to Portable Kernel Instrumentation: The Kerninst API. <a href="http://www.dyninst.org/mtg2003/slides/KerninstAPI.pdf">http://www.dyninst.org/mtg2003/slides/KerninstAPI.pdf</a> , April 2003.
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A7	K. Yaghmour et al.; "Measuring and Characterizing System Behavior Using Kernel-Level Event Logging"; Proceeding of the 2000 USENIX Annual Technical Conference; June 18-23, 2000, San Diego, California, USA

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.